



## Alan Harry

Alan Harry is an expert witness and legal consultant and an authority in commodities, options, trading, derivatives, structured products, portfolio management, hedge funds, investment research and financial markets in general.

Mr. Harry is a professional business development and commodities trading operations executive with over 30-years of proven experience. He has employed over 135 employees, owned and founded 4 startup trading operations companies and grown their market capital by over \$25M.

Over the course of his career, he has developed businesses, developed employees and cultivated cross-functional relationships. He has gained the knowledge and skills to run successful businesses and maintained employee and customer retention.

He is dedicated to sharing his knowledge and experience with other business professionals.

Mr. Harry graduated from Rutgers University with a Bachelor of Engineering in 1988. He is a member of the Honor Society and of Sigma Phi Epsilon. He is the recipient of the Slade Scholarship.

### AREAS OF EXPERTISE

#### Commodities, options, proprietary trading:

- Commodities markets and trading,
- Commodities options, futures, derivatives (swaps, exotics...), structured products, complex securities
- Liquidity management and manipulations,
- Arbitrage, execution, proprietary trading,
- portfolio construction, benchmarking, indexing,
- hedge funds, alternative strategies, asset management.

#### Supported practice areas:

- Trading loss post-mortems, investigations,
- commodities & securities manipulation,
- financial fraud & litigation,
- white-collar crime,
- enforcement, investigations, regulations,
- alternative dispute resolution, FINRA.

### REGISTRATIONS

#### FINRA

Series 7, 63, 55, 24 (expired)

#### CME Group

Trader

### EDUCATION

#### Rutgers University

BE. Electrical and Electronics Engineering

3.9 GPA, Honor Society Member, Slade Scholarship Completion, Member of Sigma Phi Epsilon

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# NAVESINK INTERNATIONAL

## INDUSTRY EXPERIENCE

**AH FRANKLIN LLC**, New York, NY 2018 – Present

**Managing Partner, Founder**

- Founded the startup equities operations and booked profits over 50% in 1 year.
- Expanded trading operations to include equities, indexes, ETFs, and options trading.

**MESON ENERGY**, New York, NY 2015 – Present

**Managing Partner, Founder**

- Founded the startup commodities trading operations and increased profits by 25% YOY.
- Expanded crude oil and natural gas derivatives and futures trading operations.
- Extensive market-making in WTI, Brent, and Natural Gas derivatives using ICE Chat, WhenTech, and CME direct.
- Developed and maintained relationships with CME, ICE, ADM, OTC Global Holdings, BGC, Brokers, and Market Makers. Managed risk real-time using WhenTech.

**HARRY RE TRUST LLC**, New York, NY 1990 – Present

**CEO, Founder**

- Funded a startup Real Estate Investment Company with trading profits.
- Grew the company to over a million square feet of retail, office, apartment buildings, and warehouse space.
- Increased profits year over year with no drawdowns.
- Carried the company through 2008 and 2009 while keeping all employees and expanded the real estate holdings through a priority buying program in Florida.
- Developed a management company to manage all properties in-house.

**SPARTAN COMMODITIES FUND**, New York, NY 2012 – 2015

**CEO**

- Raised over \$7M for initial seed capital requirements to launch the fund.
- Achieved first-year returns of 10% trading crude oil and natural gas derivatives.
- Directed daily trading operations, managed 10 traders and staff, maintained compliance with regulations, and oversaw all risk management for the trading shop.
- Managed and disseminated all financial reports, liaised with investors, clearing members, counterparties and the broad-based commodities trading community.
- Developed automated and algorithmic trading systems across several markets using Python and C++.

**HARRY CAPITAL**, New York, NY 2001 – 2012

**CEO, Founder**

- Launched the organization with \$250K of personal capital and grew profits to \$25M in 11 years.
- Lead the firm through the financial crisis with positive returns while maintaining all employees.
- Traded financial instruments including (but not limited to) futures, options, and cash equivalents in natural gas, crude oil, refined (petroleum) products, power, gold, and silver.
- Grew the company into one of the most active market making groups by integrating an arbitrage division, a futures trading division, a physical trading division, and an options trading division, with corresponding administrative and clearing departments.

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# NAVESINK INTERNATIONAL

## INDUSTRY EXPERIENCE (continued)

**ZAHR TRADING**, New York, NY

1989-2001

### **Managing Partner**

- Bought out the founding partner with personal trading profits from trading gold options within 6 months of joining by capitalizing on the volume of trades and two employees.
  - Grew the company from two traders to over 100 traders by leveraging the team of traders and support staff.
  - Developed and transformed NASDAQ broker-dealer partnership with trading colleagues into a day trading company with 62 customers and 12 proprietary traders.
  - Secured all regulatory licenses by completing the Series 7, Series 24, Series 63, and Series 55, and globally directed all parts of the broker-dealer.
  - Launched a London operation gaining in-depth access to international markets.
  - Trained and managed all traders in operations specializing in crude oil, natural gas, petroleum products, crack spreads, power, gold, silver, copper, bonds, coffee, sugar, and cocoa derivatives and futures.
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